

# Stock Holding Corporation of India Ltd.<sup>®</sup>

Regd. Office : 301, Centre Point, Dr. Babasaheb Ambedkar Road, Parel, Mumbai - 400 012.  
Phone : 91-22-6177 9400 to 09 Fax: 91-22-6177 9058 Website : www.shcil.com CIN : U67190MH1986GOI040506

<b>NOMINATION FORM</b>																																								
<b>DP ID</b>																		<b>Client ID</b>														<b>Date</b>	<b>D</b>	<b>D</b>	<b>M</b>	<b>M</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>	<b>Y</b>
I/We wish to make a nomination. [As per details given below]																																								
<b>Nomination Details</b>																																								
I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our demise, as trustee and on behalf of my / our legal heir(s)*																																								
<b>Nomination can be made upto three nominees in the account.</b>										<b>Details of 1<sup>st</sup> Nominee</b>										<b>Details of 2<sup>nd</sup> Nominee</b>										<b>Details of 3<sup>rd</sup> Nominee</b>										
<b>Mandatory Details</b>																																								
<b>1</b>	<b>Name of the nominee(s) (Mr./Ms.)*</b>																																							
<b>2</b>	<b>Share of each Nominee</b>					<b>Equally</b> <small>[If not equally, please specify percentage]</small>					%										%										%									
<i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i>																																								
<b>3</b>	<b>Relationship With the Applicant</b>																																							
<b>4</b>	<b>Date of Birth (in case of minor nominee(s))</b>																																							
<b>5</b>	<b>Name of Guardian (Mr. &amp; Ms) in case of minor nominee(s)</b>																																							
<b>6</b>	<b>Address of Nominee(s)/ Guardian in case of Minor</b>																																							
<b>City / Place:</b>																																								
<b>State &amp; Country:</b>																																								
<b>PIN Code</b>																																								
<b>7</b>	<b>Mobile No. of nominee(s) / Guardian in case of Minor</b>																																							
<b>8</b>	<b>Email ID of nominee(s)/ Guardian in case of Minor</b>																																							
<b>9</b>	<b>Nominee/ Guardian (in case of Minor) Identification details – [Please tick any one of following and provide number of same]</b>																																							
<input type="checkbox"/> PAN																																								
<input type="checkbox"/> Driving Licence																																								
<input type="checkbox"/> Aadhaar (last 4 digit)																																								
<input type="checkbox"/> Passport																																								

**\*Joint Accounts:**

Event	Transmission of Account / Folio to
Demise of One or More Joint Holder(s)	Surviving holder(s) through name deletion The Surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously - having nominee	Nominee
Demise of all joint holders simultaneously - not having nominee	Legal heir(s) of the youngest holder

- 1) I / We want the details of my / our of my / our nominee to be printed in the statement of holding or statement of account, provided to me / us by the DP as follows; (Please tick, as appropriate)

☐

Name of nominee(s)

☐

Nomination: Yes / No

- 2) This nomination shall supersede any prior nomination made by me / us, if any.
- 3) Signature(s) - As per the mode of holding in demat account(s)

Name(s) of holder(s)		Signature(s) of holder
Sole / First Holder (Mr./Ms.)		
Second Holder (Mr./Ms.)		
Third Holder (Mr./Ms.)		
<b>Two Witnesses details are mandatory, if the account holder affixes thumb impression, instead of signature.</b>		
Name:	1)	2)
Address		
Signature		

#### Rights, Entitlement and Obligation of the investor and nominee:

- If you are opening a new demat account, you have to provide nomination.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgment from the DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option of either continue as joint holders with other nominees or for each nominee(s) to open separate account.
- In case all your nominees do not claim the assets from the DP, then the residual unclaimed assets shall continue to be with the concerned Depository in case if Demat account.
- The signatories for this nomination from shall be as per mode of holding in the demat account(s) i.e.
  - 'Either of Survivor' Accounts - any one of the holder can sign
  - 'First holder' Accounts - only First holder can sign
  - 'Jointly' Accounts - all holders have to sign

## Notes:

- Only individual / natural person(s) can be a nominee(s). The Nominee(s) shall not be artificial person created/dressed by the law or by a fiction such as trust, society, body corporate, partnership firm, Hindu Undivided Family etc. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
- Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
- On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
- Nominees(s) shall extended all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the DP.
- In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees. as illustrated below.

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% Share	Nominee Share	% initial	% of 'A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%

### Acknowledgement



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Received Nomination Form DP ID \_\_\_\_\_ Client ID \_\_\_\_\_ from Mr. / Ms. \_\_\_\_\_  
as the sole / First, Second Holder, for updation of Nomination

Date:

Participant Stamp & Signature

A system generated receipt provided to the client as acknowledgement.

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### Declaration for opting-out of nomination

Date <span style="border: 1px solid black; display: inline-block; width: 20px; height: 20px;"></span> <span style="border: 1px solid black; display: inline-block; width: 20px; height: 20px;"></span> <span style="border: 1px solid black; display: inline-block; width: 20px; height: 20px;"></span> <span style="border: 1px solid black; display: inline-block; width: 20px; height: 20px;"></span> <span style="border: 1px solid black; display: inline-block; width: 20px; height: 20px;"></span> <span style="border: 1px solid black; display: inline-block; width: 20px; height: 20px;"></span> <span style="border: 1px solid black; display: inline-block; width: 20px; height: 20px;"></span> <span style="border: 1px solid black; display: inline-block; width: 20px; height: 20px;"></span>							
DP ID							
Client ID (only for Demat account)							
Sole / First Holder Name							
Second Holder Name							
Third Holder Name							
<p>I / We hereby confirm that I /We do not wish to appoint any nominee(s) in my / our demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our demat account, which may also include documents issued by court or other such competent authority, based on the value of assets held in the trading / demat account.</p>							
<b>Name and Signature of Holder(s)</b>							
1. _____ 2. _____ 3. _____							
<b>Two Witnesses details are mandatory, if the account holder affixes thumb impression, instead of signature.</b>							
Name:	1)	2)					
Address	_____ _____	_____ _____					
Signature							